# 1 METHOD AND KIT FOR SECURING AN UPWARDLY ACTING CARGO

## 2 CONTAINER DOOR

## 3 FIELD OF THE INVENTION

4 The present invention relates to a method and kit for

5 modifying a pre-existing cargo container latch assembly for an

6 upwardly acting door to accept a high security sliding bolt

7 lock.

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## BACKGROUND OF THE INVENTION

10 Cargo carrying vehicles, e.g. box trucks, tractor trailers 11 and the like, that traverse public roadways are constantly 12 subjected to the danger of cargo theft. Most cargo thefts 13 occur when the truck is parked, such as at a truck stop, rest 14 area or café. However, thieves are constantly devising new methods of stealing cargo, and thefts may now occur while the 15 16 vehicle is in motion. These thefts result in losses to the 17 vehicle owner, the insurance carrier, and indirectly to the 18 public at large.

19 One of the most common door and latch combinations 20 includes an upwardly acting door having a pivoting latch as is 21 taught in U.S. Patent No. 3,642,314.

Persons familiar with the manufacture, installation and maintenance of doors, particularly upward acting tractor trailer type doors, have long been aware that the latch

- 1 structures of such doors are subject to unusually rough
- 2 treatment, even under substantially normal operating
- 3 conditions. Container doors and their lock structures are
- 4 constantly exposed to the weather and are often strained by the
- 5 loads carried in the container or battered by external
- 6 obstructions. In addition the latches and doors are often the
- 7 subject of attempted unauthorized entry.
- 8 In order to prevent unauthorized entry into the cargo area
- 9 of a van or truck, the trucking industry has in the past
- 10 employed numerous varieties of doors and latching mechanisms.
- 11 Generally, the door and latch structure is provided with some
- 12 type of locking mechanism, typically a padlock having a U-
- 13 shaped shackle, which releasably holds the door latch handle in
- 14 the engaged position.

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- 15 For example, U.S. Patent No. 3,740,978, teaches a latch
- 16 and lock assembly for use on an overhead type cargo door. The
- 17 assembly includes a catch pin mounted to the bed portion of the
- 18 truck or similar vehicle and a latch mechanism mounted upon the
- 19 lower portion of the truck or van door. The latch mechanism
- 20 includes an elongated handle pivotally mounted upon the lower
- 21 portion of the door. The handle includes a C-shaped portion on
- 22 its distal end which cooperates with the locking assembly. The
- lock assembly includes a bracket that is mounted to the lower
- 24 portion of the door. The bracket is constructed having a

generally rectangular shape with one closed end wall. Attached to the closed end wall is a pair of steel rods which take the place of a standard U-shaped padlock shackle. The padlock body is attached to the steel rods in a conventional manner to allow limited movement of the body. In operation the latch handle is rotated to latch the door and the lower end of the C-shaped portion of the handle passes between the lock shackles. The lock body can thereafter be pushed inwardly to engage the lock thereby locking the door in place. The lock body is releasable by a key; after release the lever can be moved into a release position and the door opened.

U.S. Patent No. 5,737,946 teaches a semi-trailer antitheft device. The device includes a shield which extends over and substantially encloses both the cargo door latch handle and the padlock. The shield includes an elongated front panel which extends across the latch handle. At one end of the front panel on the rear side is a forked member which can be inserted around the pivot pin of the latch handle to allow the shield to be swung into alignment with the latch handle. The opposite end of the shield is constructed as a padlock guard having a rearwardly extending flange which extends over the top of the padlock and a lock plate covering the face of the padlock. A locking web also extends rearwardly from the front panel below the flange. The locking web includes an aperture arranged to

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- 1 allow the padlock shackle to pass through. In operation, the
- 2 forked end of the shield is slid over the pin of a latched door
- 3 lever and rotated downwardly until the padlock can be slid
- 4 through the handle and the locking web.
- U.S. Patent No. 6,058,745, teaches a padlock cover
- 6 assembly for covering U-shaped shackle padlocks on latching and
- 7 locking doors. The elongated boxlike cover is secured to one
- 8 of doors via a pivoting hinge which allows the cover to pivot
- 9 along two axis. A padlock mounting bracket is secured to the
- 10 second door. In operation, an unlocked padlock is placed
- 11 through the mounting bracket. The cover is rotated over the
- 12 unlocked padlock and pushed upward to engage the padlock. As
- 13 the padlock is engaged a pawl formed on the back of the cover
- 14 is advanced between the padlock shackle and the upper surface
- of the padlock body. As the cover is pivoted to advance the
- 16 padlock to a closed position, the pawl extends into
- 17 interlocking engagement with the shackle of the padlock. To
- 18 unlock the padlock, the cover is provided with an aperture in
- 19 its lower portion for access with a key.
- 20 The U-shaped shackle padlocks utilized in the prior art are
- 21 easily cut with readily available bolt cutters or frozen and
- 22 broken with a compressed gas source, such as a fire
- 23 extinguisher. In addition, because the padlocks are not
- 24 attached to the trailer door they are often misappropriated or

- 1 lost. Accordingly, the cost of padlock replacement often
- 2 reaches thousands of dollars per year for an average size
- 3 trucking company.

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- 4 The prior art has also suggested the use of latching
- 5 mechanisms constructed specifically for attachment to the
- 6 container door. For example, U.S. Patent No. 3,893,722,
- discloses a latch and lock assembly for use on an overhead type
- 8 cargo door. The assembly includes a catch pin mounted to the
- 9 bed portion of the truck or similar vehicle and a latch
- 10 mechanism mounted upon the lower portion of the truck or van
- 11 door. The latch mechanism includes an elongated handle
- 12 pivotally mounted upon the lower portion of the door. The
- 13 handle includes a C-shaped portion on its distal end which
- 14 cooperates with the locking mechanism. The locking assembly is
- 15 mounted to the lower portion of the door within a box type
- 16 bracket. Within the bracket is a spring loaded lever and a
- 17 keyed cylinder. In operation the latch handle is rotated to
- 18 latch the door and the C-shaped portion of the handle engages
- 19 the spring loaded lever to lock the door in place. To release
- 20 the lever a key is used to rotate the spring loaded lever into
- a disengaged position, allowing the handle to be rotated.
- 22 Latching mechanisms such as the one described above often
- 23 become inoperable due to the rough treatment which truck doors
- receive and, as a result of the one piece construction they

- 1 require replacement of the entire latch mechanism for repair.
- 2 In addition, it is often desirable for a driver to transfer a
- 3 lock from one cargo container to another to minimize the number
- 4 of persons that have access to keys for a locking mechanism, an
- 5 impossible task for a one piece lock and latch mechanism. The
- 6 one piece devices become cumbersome, requiring transference of
- 7 the latch keys with each transfer of the container.

8 Accordingly, what is lacking in the prior art is a cost

9 effective locking assembly for use with an upwardly acting

10 cargo container door. The locking assembly should achieve

11 objectives such as reliable security and lock tranferability.

12 The locking assembly should include packaging flexibility for

installation on various trailer door configurations with

minimal modification of the original latching mechanism. The

lock should be capable of withstanding the harsh environment of

the trucking industry and should allow for easy replacement and

17 repair.

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#### SUMMARY OF THE INVENTION

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The present invention provides a method and kit for assembling a high security latch and lock assembly for an upwardly acting cargo container door. More specifically, the instant invention provides a secure and transferable lock assembly engagable with a preexisting cargo container latch assembly for holding the latch handle in the latched position.

Cargo containers for truck use generally include a bed portion, a left side wall, a right side wall, a front wall, a roof panel and an upward acting door. The upward acting door is generally comprised of a plurality of horizontally hinged sections which are disposed in a substantially vertical plane when the door is closed, and in a substantially horizontal plane near the roof panel when the door is open. Pivotally mounted upon a lower portion of the upward acting cargo container door is a latch. The latch typically includes a backing plate secured to the rear surface adjacent a lower portion of the door. The backing plate includes an axle secured thereto and a hub rotatably supported on the axle. elongated handle and an arcuate catch are secured or integrally formed to the hub with the handle extending substantially radially from the hub. The distal end of the handle includes an integrally formed depending tab having an aperture drilled generally parallel to the handle. The arcuate catch extends

1 from the hub and is adapted to cooperate with a catch pin 2 mounted to the bed portion of the cargo container. 3 is manually rotatable between a latched position and an unlatched position. A latched keeper includes an integral hub 5 pivotally mounted on a pivot pin secured to the backing plate; 6 latched keeper having a downwardly and frontwardly 7 projecting flange integrally formed on its hub, the flange 8 having an opening which aligns with the aperture in the 9 depending tab of the handle when the latch is in the latched . 10 position, for receiving a conventional U-shaped 11 padlock.

The instant invention modifies the latch mechanism described above to include a sliding bolt lock by removing the latched keeper from the backing plate and positioning a sliding bolt lock adjacent to the distal end of the handle when the handle is in a latched position so that the sliding bolt portion of the sliding bolt lock engages the handle to prevent rotation thereof in a locked position. The sliding bolt lock can then be secured to the lower portion of the door utilizing a plurality of L-shaped tabs secured to the body portion of the sliding bolt lock. The L-shaped tabs each include a vertical portion and a horizontal portion, wherein the vertical portion is secured to the body portion of the sliding bolt lock and the horizontal portion includes at least one aperture therethrough.

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- The tabs may be attached to the backing plate with standard fasteners or weldments.
- 3 In an alternative embodiment the sliding bolt lock may be supplied with a casing for releasably securing the sliding bolt 5 lock adjacent to the end portion of the handle. The casing 6 would include an inner surface and an outer surface with the 7 inner surface having a conjugate shape to the body portion of 8 the sliding bolt lock. The casing also includes a small 9 aperture which is adapted to cooperate with a spring pin built into the body of the lock. Depressing the spring pin while the 10 sliding bolt is in an unlocked position allows the lock to be 11 12 inserted or removed from the casing. A plurality of L-shaped 13 tabs are secured to the outer surface of the casing for 14 attachment to the lower portion of the door. Each of the L-15 shaped tabs include a vertical portion and a horizontal 16 portion, wherein the vertical portion is secured or integrally 17 formed onto the outer surface of the casing and the horizontal 18 portion includes at least one aperture therethrough. The tabs 19 may be attached to the backing plate with standard fasteners or 20 weldments.
- Accordingly, it is an objective of the present invention to provide a kit for improving the security provided by a latch and lock structure for an upwardly acting cargo container door.
- 24 Another objective of the present invention is to provide

1 a method for improving the security provided by a latch and 2 lock structure for an upwardly acting cargo container door.

An additional objective of the present invention is to provide a lock mechanism for an upwardly acting cargo container door which prevents pilferage of the lock mechanism and minimizes the possibility for unauthorized opening of the lock.

Yet another objective of the present invention is to provide a lock kit for an upwardly acting cargo container door that allows lock portability while minimizing the possibility of lock misappropriation and/or loss.

Other objects and advantages of this invention will become apparent from the following description taken in conjunction with the accompanying drawings wherein are set forth, by way of illustration and example, certain embodiments The invention. drawings constitute of this a part specification and include exemplary embodiments of the present invention and illustrate various objects and features thereof.

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1	BRIEF DESCRIPTION OF THE FIGURES
2	Figure 1 is a front view partially in section illustrating
3	a prior art latch mechanism for an upwardly acting cargo
4	container door;
5	Figure 2 is a front view partially in section illustrating
6	one embodiment of the modified latch mechanism of the present
7	invention;
8	Figure 3 is a top view partially in section illustrating
9	a top view of the modified latch mechanism of the present
10	invention;
11	Figure 4 is a section view along lines 1-1 of Figure 2
12	illustrating the cooperative engagement between the handle and
13	the sliding bolt lock of the present invention;
14	Figure 5 is a front view partially in section and
15	partially exploded illustrating an alternative embodiment of
16	the present invention
17	Figure 6 is an end view of the alternative embodiment
18	illustrated in Figure 5.
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#### DETAILED DESCRIPTION OF THE INVENTION

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Although the invention is described in terms of a preferred specific embodiment, it will be readily apparent to those skilled in this art that various modifications, rearrangements and substitutions can be made without departing from the spirit of the invention. The scope of the invention is defined by the claims appended hereto.

Referring to FIG. 1, the latch and door structure utilized in the instant invention is comprised of a latch assembly 10 mounted on the lower portion of an upwardly acting door 12 for engagement with a catch pin assembly 14 that is mounted in the bed 16 of a conventional cargo container for a truck or van. door 12 is generally comprised of a plurality of horizontally hinged sections which are disposed substantially vertical plane, when the door 12 is closed, and in a substantially horizontal plane near the roof of the van when the door is open. A resiliently flexible weather seal 18 is mounted on the lower edge of the door 12 and is engageable with the bed 16 in a conventional manner for conventional purposes.

Still referring to FIG. 1, the catch pin assembly 14 is comprised of an elongated, substantially rectangular casing 20 having a top flange 22, a pair of side walls 24 (only the back being shown) and a pair of end walls 26 and 27 and a catch pin

- 1 34. The side walls and the end walls define a catch chamber 28
- 2 which preferably opens upward to receive the latch 30 and
- 3 downwardly for drainage.
- 4 The top flange 22 preferably extends beyond both end walls
- 5 26, 27 and the back sidewall 24 for engagement with the upper
- 6 surface 32 of the bed 16 when the casing 20 is located within
- 7 the opening in the bed 16. The top flange 22 may be secured to
- 8 the bed 16 by welding, screws or any other conventional means.
- 9 The catch pin 34 is secured to and extends between the
- 10 sidewalls 24 of the casing 20 about midway between the end
- 11 walls 26 and 27.
- 12 The latch assembly 10 has a backing plate 36 which is
- 13 secured to the rear surface of the door 12 adjacent the lower
- 14 edge thereof by conventional means such as bolts, rivets or
- 15 weldment. Rigidly secured to the backing plate 36 is axle 38
- 16 defining an axis of rotation for the handle 40 and the catch
- 17 30. The handle 40 and the catch 30 are rotatably supported on
- 18 axle 38 to allow pivotal movement between a latched and an
- 19 unlatched position. The handle 40 extends substantially
- 20 radially from hub 42. The distal end 44 of the handle includes
- 21 an integrally formed depending tab 46 having an aperture 48
- 22 drilled generally parallel to the handle. Also extending from
- 23 the hub 42 is an elongated arcuate hook 30 having a slightly
- 24 cammed inner surface 50.

An unlatched keeper 52 is pivotally mounted upon a pivot pin 54 which is rigidly mounted to the backing plate 36. The

3 keeper 52 has a hook 56 at one end which is engageable with the

4 hub 42 to maintain the latch means in an unlatched position.

A latched keeper 58 is substantially flat and has an integral hub 60 pivotally mounted on pivot pin 62. The pivot pin is rigidly secured to the backing plate 36. A downwardly and frontwardly projecting flange 64 is integrally formed on the hub 60. The flange has an opening 66 which aligns with aperture 48 in the depending tab 46 of handle 40 when the latch means is in a latched position, for receiving a conventional U-shaped shackle lock 66.

Referring to FIGS. 1 through 3, in order to alleviate the problems associated with cargo theft from cargo containers having upward acting doors, the present invention provides a method and kit for modifying the pivoting latch as set forth in Figure 1. The method of modification generally includes removing the latched keeper 58 from the backing plate 36 by conventional means such as grinding, torch, drilling or the like. Thereafter, attaching the sliding bolt lock 70 of the instant invention kit to the backing plate 36 adjacent to the distal end portion 44 of the handle 40 when the handle is in the latched position. The sliding bolt lock 70 comprises a body portion 72 and a sliding bolt member 74, the sliding bolt

1 member being movable between a locked position and an unlocked 2 Moving the sliding bolt member between the locked 3 and the unlocked positions engages and disengages respectively the aperture 48 in the depending tab 46 when the handle 40 is 5 in the latched position for positively preventing movement or allowing movement respectively of the handle. The sliding bolt 6 7 lock 70 may include safety features such as multi-element pin assemblies and/or cooperating computer chips in the lock 9 cylinder and/or key. Each lock may also include an ownership 10 identification card (not shown) that includes information 11 required to make additional keys and/or digital information 12 required to open the sliding bolt lock. Such sliding bolt 13 locks are sold by Cisa Inc. of Chicago, Illinois and Mul-T-Lock 14 Ltd of Yavne, Israel. 15

For securing the sliding bolt lock 70 to the backing plate 36, a plurality of L-shaped tabs 76 may be secured to the body portion 72 of the lock. Each of the L-shaped tabs 76 include a vertical portion 78 and a horizontal portion 80. The vertical portions 78 are preferably secured to the body portion 72 of the sliding bolt lock by welding; alternatively, other means well known in the art such as rivets or threaded fasteners may be utilized. In a further alternative embodiment the tabs 76 may be integrally formed to the lock body 72. The horizontal portion 80 of the tabs 76 includes at least one

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aperture 82 therethrough for attachment to the lower portion of the upwardly acting door 12. The horizontal portion 80 of the tabs 76 are preferably attached to the lower portion of the door via carriage type bolts 84. Alternatively, other fasteners and/or weldments well known in the art may be

6 utilized to secure the tabs to the backing plate 36 and/or the

lower portion of the door 12.

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Referring to FIGS. 5 and 6, an alternative embodiment the lock is assembly is provided with a lock casing 90 for releasably securing the sliding bolt lock 70 (FIG. 2) adjacent to the end portion 44 of the handle 40. The lock casing 90 includes an inner surface 92 and an outer surface 98, the inner surface 92 having a generally conjugate shape to the body portion 72 of the sliding bolt lock. The lock casing 90 includes an aperture 100, the aperture is adapted to cooperate with a spring pin 102 incorporated into the body portion 72 of the sliding bolt lock. Depressing the spring pin 102, allows the body portion 72 to be removed from the lock casing 90 when the sliding bolt 74 is in an open position, yet secures the lock body within the lock casing 90 to prevent accidental loss or misappropriation when the sliding bolt 74 is in a locked position. The outer surface 98 of the lock casing 90 includes a plurality of L-shaped tabs 76 secured thereto, the L-shaped tabs being constructed and arranged for attachment to a lower

1 portion of the upwardly acting door 12. Preferably each of the 2 L-shaped tabs include a vertical portion 78 and a horizontal 3 portion 80, wherein the vertical portion 78 is preferrably 4 secured to the outer surface 98 of the lock casing 90 via 5 weldment and the horizontal portion 80 includes at least one 6 aperture 82 therethrough. In further alternative embodiments, 7 other means well known in the art such as rivets or threaded 8 fasteners may be utilized to attach the vertical portion of the 9 L-shaped tabs to the outer surface 98 of the lock casing 90. 10 In a further alternative embodiment, the L-shaped tabs 76 may 11 be integrally formed onto the lock casing 90. In the preferred 12 embodiments the casing may be constructed of materials well 13 known in the art which may include, but should not be limited 14 to metals such as steel, hardened steel, armor plated steel, 15 aluminum, titanium and the like.

All patents and publications mentioned in this specification are indicative of the levels of those skilled in the art to which the invention pertains. All patents and publications are herein incorporated by reference to the same extent as if each individual publication was specifically and individually indicated to be incorporated by reference.

22 It is to be understood that while a certain form of the invention is illustrated, it is not to be limited to the 24 specific form or arrangement herein described and shown.

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- 1 will be apparent to those skilled in the art that various
- 2 changes may be made without departing from the scope of the
- 3 invention and the invention is not to be considered limited to
- 4 what is shown and described in the specification.
- One skilled in the art will readily appreciate that the
- 6 present invention is well adapted to carry out the objectives
- 7 and obtain the ends and advantages mentioned, as well as those
- 8 inherent therein. The embodiments, methods, procedures and
- 9 techniques described herein are presently representative of the
- 10 preferred embodiments, are intended to be exemplary and are not
- 11 intended as limitations on the scope. Changes therein and other
- 12 uses will occur to those skilled in the art which are
- 13 encompassed within the spirit of the invention and are defined
- 14 by the scope of the appended claims. Although the invention
- 15 has been described in connection with specific preferred
- embodiments, it should be understood that the invention as
- 17 claimed should not be unduly limited to such specific
- 18 embodiments. Indeed, various modifications of the described
- 19 modes for carrying out the invention which are obvious to those
- 20 skilled in the art are intended to be within the scope of the
- 21 following claims.